

3713

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112300-722

Inventor/Assignor: **Anthony J. Baerlocher**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/934,003	August 20, 2001	Scott E. Jones	29159	3713	7783

Title: **GAMING DEVICE HAVING PLAYER-SELECTABLE AWARD DIGITS AND AWARD MODIFICATION OPTIONS**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

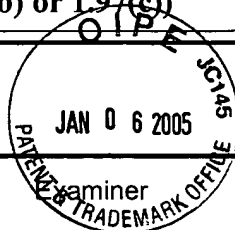
**OR**

☒ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

112300-722

In Re Application: **Anthony J. Baerlocher**

Application No.

09/934,003

Filing Date

August 20, 2001

Examiner

Scott E. Jones

Customer No.

29159

Group Art Unit

3713

Confirmation No.

7783

Title: **GAMING DEVICE HAVING PLAYER-SELECTABLE AWARD DIGITS AND AWARD****OPTIONS****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of **\$180.00** is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

January 4, 2005

(Date)

Signature of Person Mailing Correspondence

Heather Foster

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Dated: **January 4, 2005**

Adam H. Masia

Reg. No. 35, 602

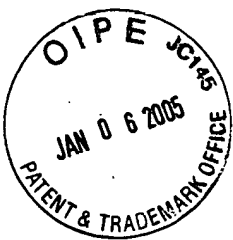
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CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Anthony J. Baerlocher et al.  
Appl. No.: 09/934,003  
Conf. No.: 7783  
Filed: August 20, 2001  
Title: GAMING DEVICE HAVING PLAYER-SELECTABLE AWARD DIGITS AND  
AWARD MODIFICATION OPTIONS  
Art Unit: 3713  
Examiner: Scott E. Jones  
Docket No.: 112300-722

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
3,618,952	November 3, 1971	Tallarida
4,811,953	March 14, 1989	Jeng
4,978,129	December 18, 1990	Komeda et al.
5,393,057	February 28, 1995	Marnell, II
5,456,465	October 10, 1995	Durham
5,580,309	December 3, 1996	Piechowiak et al.
5,700,009	December 23, 1997	Meoni
5,823,874	October 20, 1998	Adams
5,823,879	October 20, 1998	Adams
5,848,932	December 15, 1998	Adams
5,882,261	March 16, 1999	Adams
5,980,384	November 9, 1999	Barrie
6,012,982	January 11, 2000	Peichowiak et al.
6,109,610	August 29, 2000	Cherry et al.

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01 FC:1806

6,146,273	November 14, 2000	Olsen
6,174,234	January 16, 2001	Seibert, Jr. et al.
6,203,429	March 20, 2001	Demar et al.
6,270,409	August 7, 2001	Shuster
6,224,482	May 1, 2001	Bennett
6,231,442	May 15, 2001	Mayeroff
6,231,445	May 15, 2001	Acres
6,234,897	May 22, 2001	Frohm et al.
6,261,177	July 17, 2001	Bennett
6,270,409	August 7, 2001	Shuster
6,283,855	September 4, 2001	Bingham
6,299,165	October 9, 2001	Nagano
6,309,300	October 30, 2001	Glavich
6,312,334	November 6, 2001	Yoseloff
6,322,309	November 27, 2001	Thomas et al.
6,334,814	January 1, 2002	Adams
6,346,043	February 1, 2002	Colin et al.
6,347,996	February 19, 2002	Gilmore et al.
6,358,147	March 19, 2002	Jaffe et al.
6,364,767	April 2, 2002	Brossard et al.
6,394,902	May 28, 2002	Glavich et al.
6,439,995	August 27, 2002	Hughs-Baird et al.
6,443,837	September 3, 2002	Jaffe et al.
2002/094861	July 18, 2002	Seelig et al.

#### **FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
GB 2 366 435	June 3, 2002	Great Britain
AU 200069612	October 30, 2000	Australia

#### **OTHER DOCUMENTS**

A Salute to Game Shows-The Price is Right-Pricing Games (website). Retrieved from the Internet on 2000-08-16: <<http://ben-schumin.simplenet.com/game-shows/shows/price-is-right/pricing-games.htm>>.

Description of Let's Make a Deal Television Show written by www.ballygaming.com, printed March 16, 2001.

Fortune Cookie Brochure written by IGT, published 2000.

Jumble (website) written by WMS, printed March 21, 2001.

Jumble Advertisement written by WMS Gaming Inc., published prior to 2000.

Jumble Article written by Strictly Slots, published December 2000.

Loaded Dice Advertisement written by Konami Gaming, published in 2000.

Rainbow Riches Five-Liner Advertisement, written by BSCI Corporation, published prior to August 20, 2001.

Slot Machine Game With Roaming Wild Card, published prior to August 20, 2001.

Sphinx Magic Advertisement, written by Atronic Casino Technology, Ltd., published 1997.

Texas Tea Advertisement written by IGT, published in 2000.

Top Dollar Technical Brochure written by IGT, published in 1998, pp. 1-2.

Top Dollar Advertisement written by IGT, published in 1998.

Wheel of Fortune Brochure written by IGT, published in 1998.

Who Dunnit Advertisement written by WMS Gaming, Inc., published June 26, 2001.

Applicants look forward to early and favorable consideration of this matter.

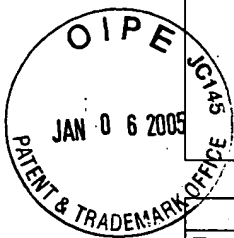
Respectfully submitted,

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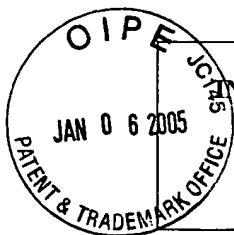
Dated: January 4, 2005



<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449	Atty Docket No. 112300-722	Application No. 09/934,003
	Applicant Anthony J. Baerlocher et al.	
	Filing Date August 20, 2001	Group 3713

U.S. PATENT DOCUMENTS							
Examiner's Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
		3,618,952	November 3, 1971	Tallarida			
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		6,334,814	January 1, 2002	Adams			

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No. 112300-722	Application No. 09/934,003
Applicant Anthony J. Baerlocher et al.	
Filing Date August 20, 2001	Group 3713

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	6,394,902	May 28, 2002	Glavich et al.			
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	6,443,837	September 3, 2002	Jaffe et al.			
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	GB 2 366 435	June 3, 2002	Great Britain				
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**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

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	A Salute to Game Shows-The Price is Right-Pricing Games (website). Retrieved from the Internet on 2000-08-16: < <a href="http://ben-schumin.simplenet.com/game-shows/shows/price-is-right/pricing-games.htm">http://ben-schumin.simplenet.com/game-shows/shows/price-is-right/pricing-games.htm</a> >.
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